

PATENT  
Attorney Docket No.: 006969-028210US

10/31/02  
PS  
#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

James D. L. Martin et al.

Application No.: 09/902,480

Filed: July 9, 2001

For: METHOD AND SYSTEM FOR  
PROVIDING STAMPS BY KIOSK

Examiner: Unassigned

Art Unit: 2161

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 09/708,883, filed November 7, 2000 (Attorney Docket No. 006969-022320US). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

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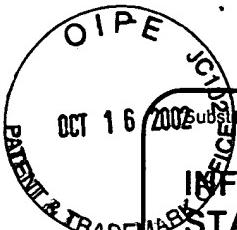
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Respectfully submitted,

  
David N. Slone  
Reg. No. 28,572

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
Tel: 650-326-2400  
Fax: 650-326-2422  
DNS:crf  
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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

3

## Complete if Known

Application Number	09/902,480
Filing Date	July 9, 2001
First Named Inventor	Martin, James D.L., et. al.
Art Unit	2161
Examiner Name	Unassigned

Attorney Docket Number

006969-028210US

U.S. PATENT DOCUMENTS					
Examiner	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	5,963,928	10-05-1999	Lee	
	AB	5,920,850	07-06-1999	Hunter et al.	
	AC	5,822,738	10-13-1998	Shah et al.	
	AD	5,793,867	08-11-1998	Cordery et al.	
	AE	5,781,438	07-14-1998	Lee et al.	
	AF	5,742,683	04-21-1998	Lee et al.	
	AG	5,715,164	02-03-1998	Liechti et al.	
	AH	5,688,056	11-18-1997	Peyret	
	AI	5,666,421	09-09-1997	Pastor et al.	
	AJ	5,638,442	06-10-1997	Gargiulo et al.	
	AK	5,625,694	04-29-1997	Lee et al.	
	AL	5,448,641	09-05-1995	Pintsov et al.	
	AM	5,377,268	12-27-1994	Hunter	
	AN	5,280,531	01-18-1994	Hunter	
	AO	5,231,668	07-27-1993	Kravitz	
	AP	5,181,245	01-19-1993	Jones	
	AQ	5,142,577	08-25-1992	Pastor	
	AR	4,949,381	08-14-1990	Pastor	
	AS	4,853,961	08-01-1989	Pastor	
	AT	4,853,865	08-01-1989	Sansone et al.	
	AU	4,831,555	05-16-1989	Sansone et al.	
	AV	4,813,912	03-21-1989	Chickneas et al.	
	AW	4,812,994	03-14-1989	Taylor et al.	
	AX	4,775,246	10-04-1988	Edelmann et al.	
	AY	4,757,537	07-12-1988	Edelmann et al.	
	AZ	4,743,747	05-10-1988	Fougere et al.	
	BA	4,725,718	02-16-1988	Sansone et al.	
	BB	4,657,697	04-14-1987	Chiang	
	BC	4,447,890	05-08-1984	Duwel et al.	

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FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
Examiner Signature				Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
				Application Number	09/902,480
				Filing Date	July 9, 2001
				First Named Inventor	Martin, James D.L., et. al.
				Art Unit	2161
				Examiner Name	Unassigned
Sheet	2	of	3	Attorney Docket Number	006969-028210US

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
BD	EP	845 762	A2		06-03-1998			<input type="checkbox"/>
BE	EP	825 565	A2		02-25-1998			<input type="checkbox"/>
BF	WO	00/49580	A1		08-24-2000			<input type="checkbox"/>
BG	WO	98/20461	A2		05-14-1998			<input type="checkbox"/>
BH	WO	98/13790	A1		04-02-1998			<input type="checkbox"/>
BI	GB	1 536 403			12-20-1978			<input type="checkbox"/>
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Examiner Signature	Date Considered
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08B (10-01)

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of

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**Complete if Known**

Application Number	09/902,480
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First Named Inventor	Martin, James D.L., et. al.
Art Unit	2161
Examiner Name	Unassigned

Attorney Docket Number 006969-028210US

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	BJ	"Information-Based Indicia Program (IBIP), Performance Criteria for Information-Based Indicia and Security Architecture for Closed IBI Postage Metering Systems (PCIBI-C)" 12 January 1999 (1999-01-12), United States Postal Service, dated January 12, 1999.	
	BK	"Information Based Indicia Program (IBIP) Indicium Specification," United States Postal Service, dated June 13, 1996.	
	BL	"Information Based Indicia Program Postal Security Device Specification, United States Postal Service, dated June 13, 1996	
	BM	"Information Based Indicia Program Host System Specification [Draft]," United States Postal Service, dated October 9, 1996	
	BN	"Information-Based Indicia Program (IBIP), Performance Criteria for Information-Based Indicia and Security Architecture for IBI Postage Metering Systems (PCIBISAIBIPMS)," United States Postal Service, dated August 19, 1998	
	BO	United States Postal Service, "Performance Criteria For Information-Based Indicia And Security Architecture For Open IBI Postage Evidencing Systems," Information Based Indicia Program (IBIP), June 25, 1999 XP-002161216	
	BP	BARKER-BENFIELD, "First Union Offers Online Transactions," <u>Florida Times-Union</u> , 1/28/94.	
	BQ	FIBS PUB 140-1, Federal Information Processing Standards Publication, (January 11, 1994) Security Requirements for Cryptographic Modules, U.S. Department of Commerce, Ronald H. Brown, Secretary, National Institute of Standards and Technology; pp:1-51.	

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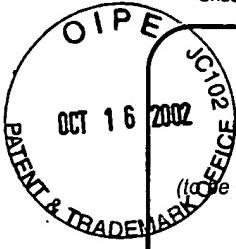
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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/902,480
		Filing Date	July 9, 2001
		First Named Inventor	Leon
		Group Art Unit	2161
		Examiner Name	Unassigned
Total Number of Pages in This Submission	3	Attorney Docket Number	006969-028210US

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1) <input type="checkbox"/> Return receipt postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		Remarks
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. Total number of pages <u>does not</u> include cited references.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm and Individual name	Townsend and Townsend and Crew LLP David N. Slone Reg No. 28,572	
Signature		
Date	October 4, 2002	

## CERTIFICATE OF MAILING

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